

Figure 1

Gaston, et al.
LEDS.00111

"A Method for Standardizing Reporting of Issues, Assumptions, and
Risks for a Risk Review Board"
Page 1 of 18

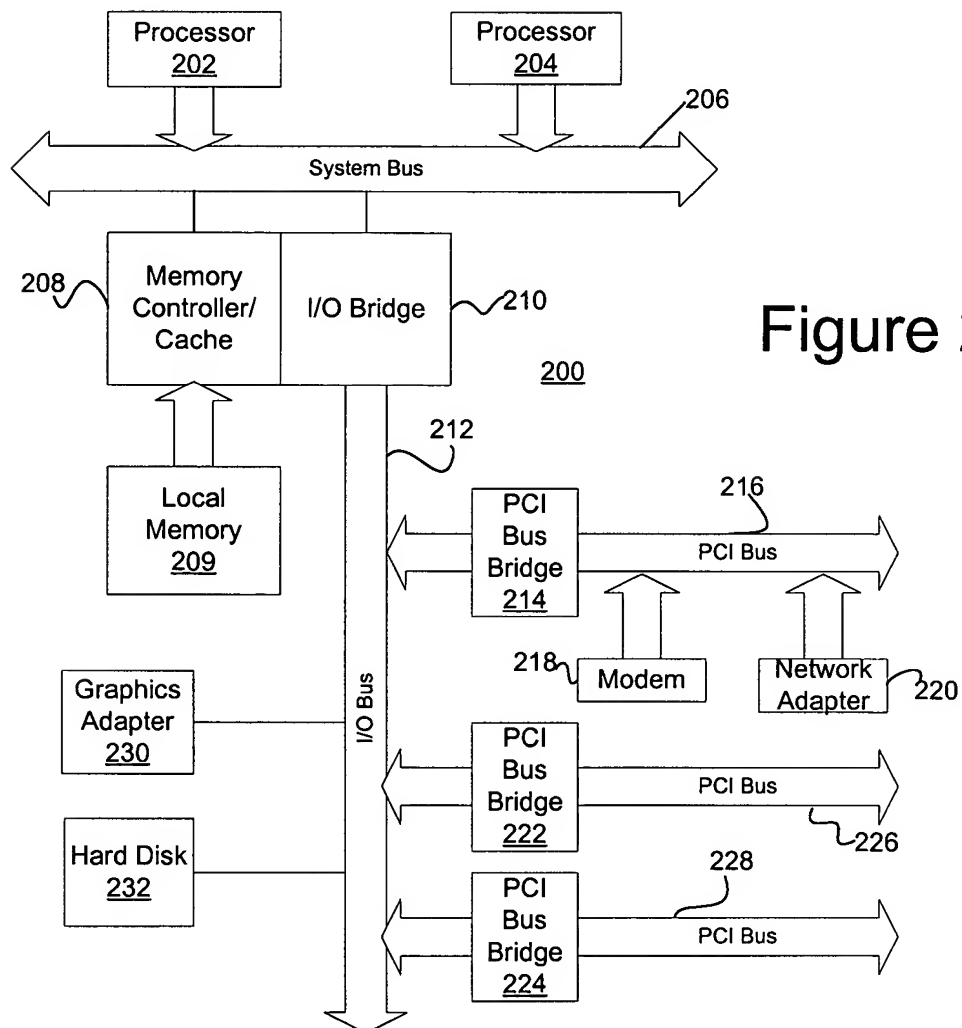
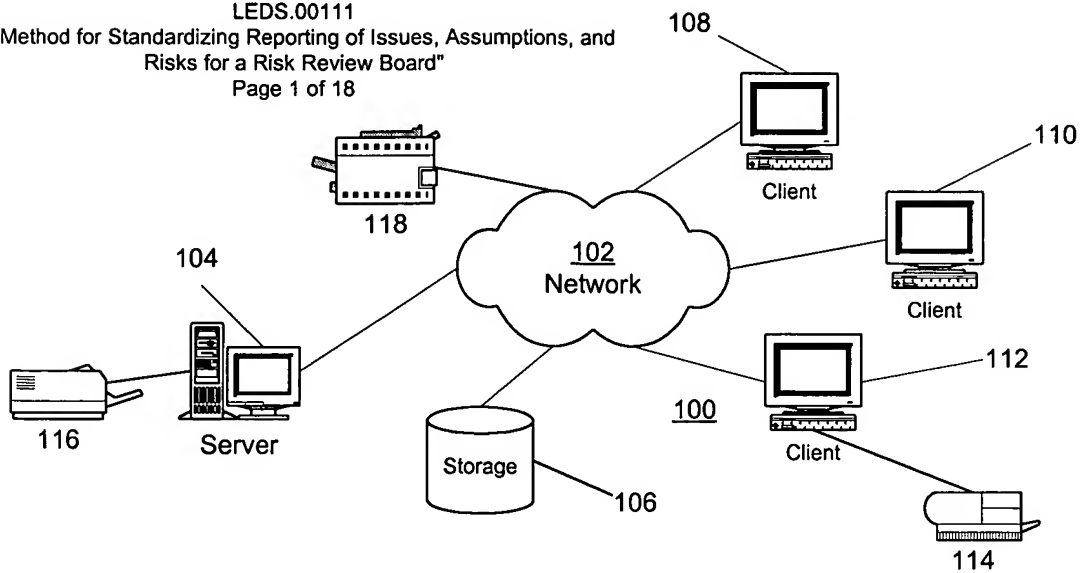


Figure 2

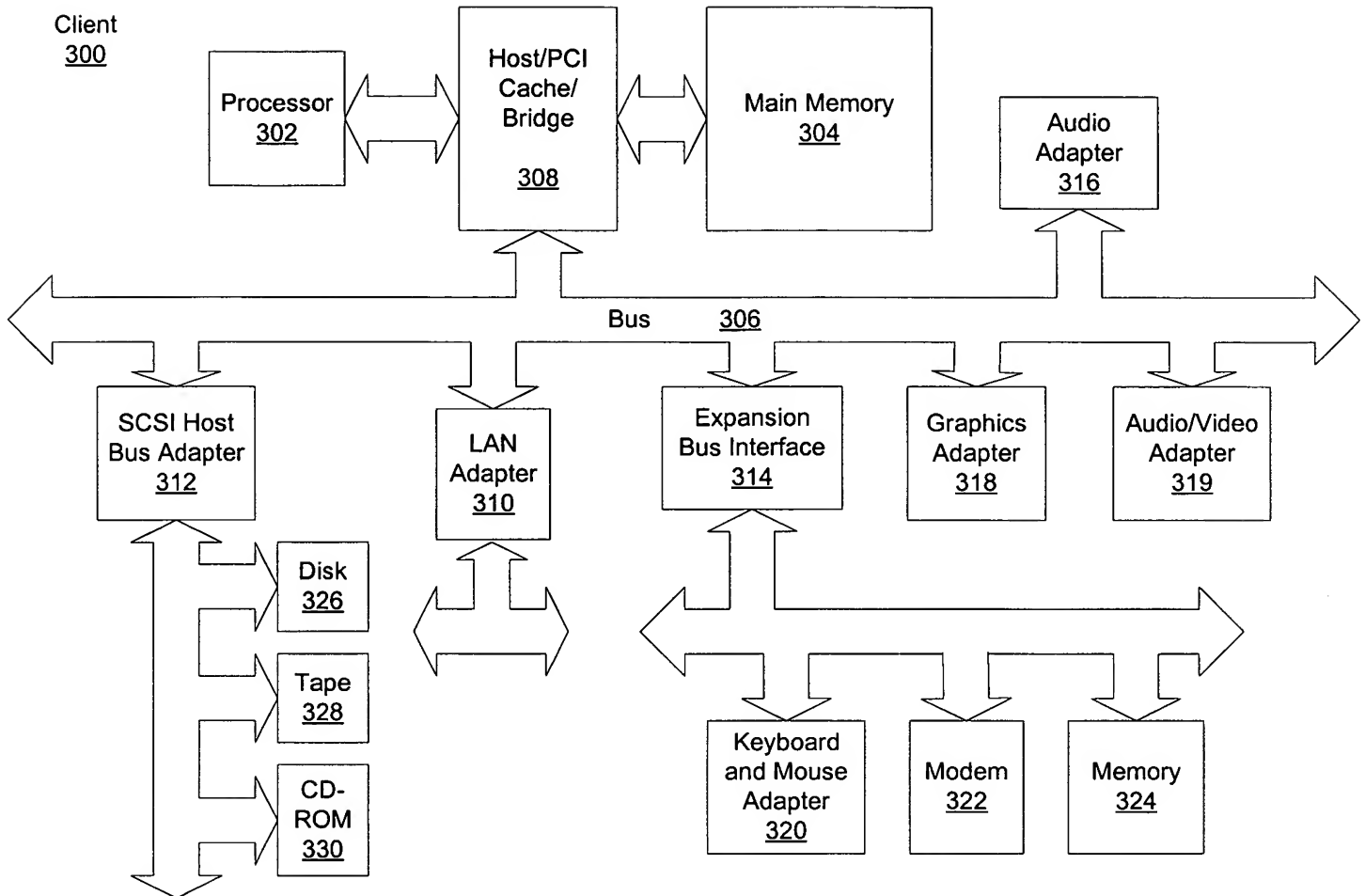


Figure 3

Gaston, et al.
LEDS.00111

"A Method for Standardizing Reporting of Issues, Assumptions, and
Risks for a Risk Review Board"
Page 2 of 18

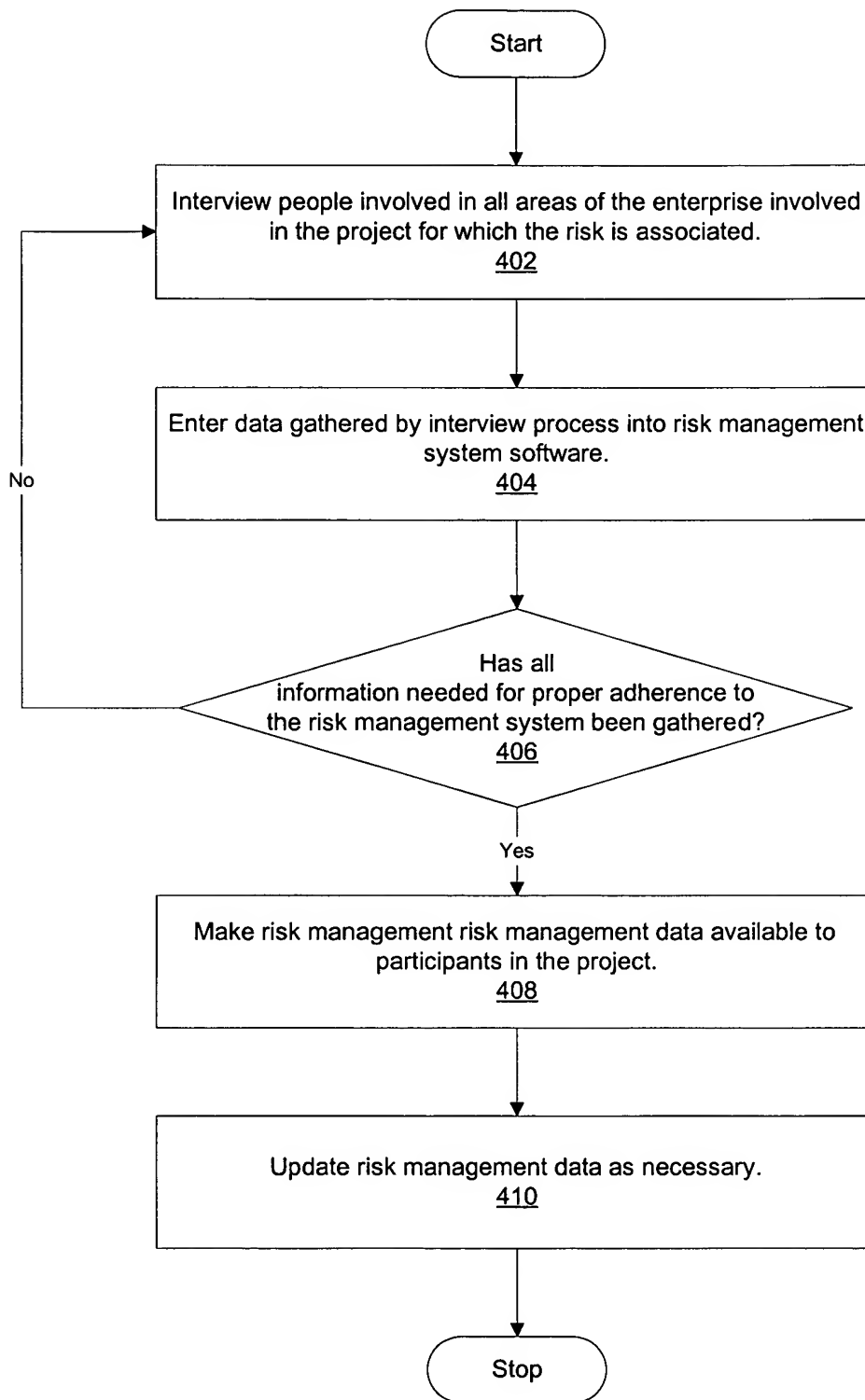


Figure 4

Gaston, et al.
LEDS.00111

"A Method for Standardizing Reporting of Issues, Assumptions, and
Risks for a Risk Review Board"

Page 3 of 18

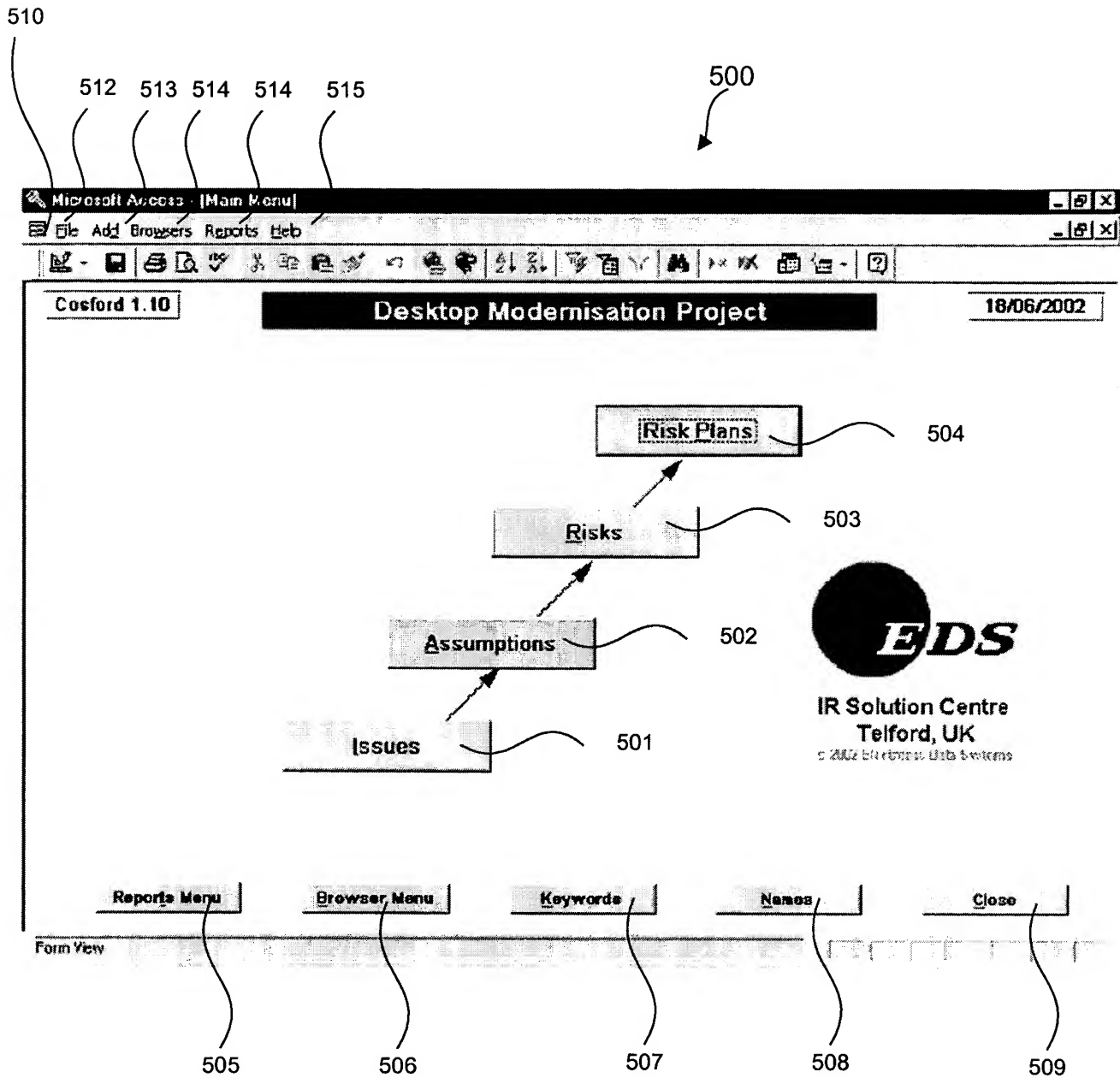


Figure 5

Gaston, et al.
LEDS.00111

"A Method for Standardizing Reporting of Issues, Assumptions, and
Risks for a Risk Review Board"

Page 4 of 18

610



The screenshot shows a Microsoft Access window titled "Microsoft Access - [New Issue Record]". The form is titled "New ISSUE" and has a date field "19/06/2002" in the top right corner. The form contains several fields and sections:

- Date Opened:** 19/05/2002 (Label 612)
- Last Updated:** 19/06/2002
- Title:** How can we solve the problem of 'run time' inconsistencies with session beans for SFC Public Operations? (Label 613)
- Owner:** Amarjit Bassi (Label 614)
- Size:** C (Label 617)
- Driver:** Decision (Label 615)
- Keyword 1:** Project (Label 616)
- Milestone / Dependency:** (Label 619)
- Keyword 2:** Public Operations (Label 618)
- Summary of Actions Taken:** We know the design solution but there is a significant amount of work involved. The problem has been diagnosed, updating programming guide lines to show how it should be done, and developing a fixed plan to correct the code. (Label 620)
- Next Actions:** Sue Marsh to investigate the requirements and liaise with David Easterbrook with the updates by 26/06/02. (Label 621)
- Action Manager:** Sue Marsh (Label 622)
- Resolve By:** 19/07/2002 (Label 623)
- Next Review:** 19/07/2002 (Label 624)
- Buttons:** Save Record (Label 626), Cancel (Label 624)
- Footer:** What are you going to do to resolve this Issue? (Label 611)

Figure 6

Gaston, et al.
LEDS.00111

710

Microsoft Access - [Issue Record]

File Edit View Insert Format Records Tools Window Help

Reference: **13** **ISSUE** 19/06/2002

Date Opened: 19/06/2002 Last Updated: 19/06/2002

Title: How can we solve the problem of 'run time' inconsistencies with session beans for SFC Public Operations?

Owner: Amarjit Bassi Size: C Driver: Decision

Keyword 1: Project Milestone / Dependency:

Keyword 2: Public Operations Date Closed:

Summary of Actions Taken: We know the design solution but there is a significant amount of work involved. The problem has been diagnosed, updating programming guide lines to show how it should be done, and developing a fixed plan to correct the code.

Next Actions: Sue Marsh to investigate the requirements and liaise with David Easterbrook with the updates by 26/06/02.

Notes

Action Manager: Sue Marsh Resolve By: 19/07/2002 Next Review: 19/07/2002

Select Issue Preview Report Print Report New Issue Issue Browser Main Menu

Unique Reference for each Issue 711

712 713 714 715 716

717 718 719

Figure 7

Gaston, et al.
LEDS.00111

"A Method for Standardizing Reporting of Issues, Assumptions, and
Risks for a Risk Review Board"
Page 6 of 18

810

Microsoft Access - [New Assumption Record]

File Edit View Insert Format Records Tools Window Help

New ASSUMPTION 21/06/2002

DATE Opened: 21/06/2002

Assumption Title:

Driver: ACTION Method:

Keyword 1: ALL Keyword 2: ALL Driver: Decision

Mission / Dependency:

Summary of Actions Taken:

Sensitivity: A Stability: A

Sensitivity - Why? Stability - Why?

Next Actions:

Next Review:

Save Record Cancel

Assumption Title (Ctrl + Enter - to start a new line)

Figure 8A

Gaston, et al.
LEDS.00111

"A Method for Standardizing Reporting of Issues, Assumptions, and
Risks for a Risk Review Board"
Page 7 of 18

840

Microsoft Access - [Assumptions]

Assumption ID: 43 **ASSUMPTION** 05/07/2002

Date Opened: 05/07/2002 Last Updated: 05/07/2002

Assumption Title: Middleware will supply SFC with the correct API (Application Programming Interface for Audit and Accountant Implementation Guide by 21/05/02)

Driver: Carol Tildesley Action Manager: David Keen

Keyword1: Project Driver: Milestone

Keyword2: Middleware Milestone Dependency: SFC23005

Summary of Actions Taken: Have actioned Middleware to check the work and meetings have been arranged to discuss API.

Sensitivity: C Stability: C

Sensitivity Why?: Without correct API cannot deliver to Test on arranged date.

Stability Why?: Do not believe Middleware and Audit have spoken to each other to confirm correct

Next Action: Carol Tildesley to contact David Keen (Audit) to deliver API by 25/07/02.

Next Review: 05/08/2002 Re-open Close

Date Closed:

Associated Risk: View

Ref.	Criticality	Controllability	Impact Date	Date Closed
19	OFF	A	04/09/2002	

Find Assumption Preview Report Print Report Create New Risk New Assumption Assumption Browser Main Menu

Assumption Title (Ctrl + Enter - to start a new line) FLTR

Figure 8B

Gaston, et al.
LEDS.00111"A Method for Standardizing Reporting of Issues, Assumptions, and
Risks for a Risk Review Board"

Page 8 of 18

900

The screenshot shows a Microsoft Access window titled 'Microsoft Access - [Risks]'. The form is titled 'New RISK' and includes the following fields and labels:

- Assumption Key:** 43 (Label 901)
- Today:** 08/07/2002
- Last Updated:** 08/07/2002
- Risk Description:** Middleware will supply SFC with the correct API (Application Programming Interface) for Audit and accompanying Implementation Guide by 21/05/02. (Label 811)
- If not, what does it describe impact:** Without correct API cannot deliver to Test on arranged date (Label 902)
- Risk Owner:** Carol Tildesley (Label 903)
- Keywords:** Project (Label 905)
- Keywords:** Middleware (Label 906)
- Summary of Actions Taken:** Have actioned Middleware to check the work and meetings have been arranged to discuss API. (Label 907)
- Impact Date:** 07/08/2002 (Label 909)
- Criticality:** OFF (Label 910)
- Controllability:** A (Label 911)
- Cost:** 0 (Label 913)
- Next Actions:** Carol Tildesley to contact David Keen (Audit) to deliver API by 25/07/02. (Label 914)
- Action Manager:** David Keen (Label 915)
- Next Review:** (Label 918)
- Bubble Chart:**
 - Label: R19 (Label 916)
 - Vertical Offset: 0 (Label 917)
 - Options: Top, Middle, Bottom, Right, No Label
- Buttons:** Save Record, Cancel
- Footer:** Risk Title (Ctrl + Enter - to start a new line), FLTR

Figure 9A

940

Microsoft Access [Assumptions]

Assumption ID: 43 ASSUMPTION 05/07/2002

Date Created: 05/07/2002 Last Updated: 05/07/2002

Assumption Title: [Middleware will supply SFC with the correct API (Application Programming Interface) for Audit and accompany no implementation Guide by 21/06/02]

Owner: Carol Tildesley Action Manager: David Keen

Keyword1: Project Driver: Milestone

Keyword2: Middleware Milestone / Dependency: SFC23005

Summary of Actions Taken: Have actioned Middleware to check the work and meetings have been arranged to discuss API.

Sensitivity: C Stability: C

Sensitivity Why? Without correct API cannot deliver to Test on arranged date. Stability Why? Do not believe Middleware and Audit have spoken to each other to confirm correct

New Actions: Carol Tildesley to contact David Keen (Audit) to deliver API by 25/07/02.

Next Review: 05/08/2002 Re-open

Date Closed: Close

Associated Risks:

Ref.	Criticality	Controllability	Impact Date	Date Closed
19	Amber	C	26/07/2002	

View

Find Assumption Preview Report Print Report Create New Risk New Assumption Assumption Browser Main Menu

Assumption Title (Ctrl + Enter - to start a new line)

Figure 9B

Gaston, et al.
LEDS.00111

"A Method for Standardizing Reporting of Issues, Assumptions, and
Risks for a Risk Review Board"

Page 10 of 18

965

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Figure 9C

1000

The screenshot shows a Microsoft Access database form titled "New RISK PLAN". The form is divided into several sections with labels on the left side, each with a corresponding number in the 1000s range. The form includes the following fields and controls:

- 1001**: Date Opened (08/07/2002)
- 1002**: Risk Level (19)
- 1003**: Plan Description (text area)
- 1004**: Owner (Carol Tildesley)
- 1005**: Keyword1 (Project)
- 1006**: Keyword2 (Middleware)
- 1007**: Action Manager (David Keen)
- 1008**: Milestone / Dependency (text area)
- 1009**: Success Criteria (text area)
- 1010**: Monitoring Details (text area)
- 1011**: Summary of Actions Taken (text area)
- 1012**: Plan Active (No)
- 1013**: Date Activated (08/07/2002)
- 1014**: Risk Plan Costs (Capital: £0, Mandays: 0, £ Capital: 0)
- 1015**: Next Review (text area)

At the bottom of the form, there are two buttons: "Save Record" and "Cancel". Below the form, a note reads: "Plan Title (Ctrl + Enter - to start a new line)".

Figure 10A

1030

Microsoft Access - [Risks]

Risk Reference: 19 RISK 08/07/2002

Date Opened: 08/07/2002 Last Updated: 08/07/2002

Assumption: Middleware will supply SFC with the correct API (Application Programming Interface) for Audit and accompanying Implementation Guide by 21/05/02.

Without correct API cannot deliver to Test on arranged date

Risk Owner: Carol Tildesley

Keyword1: Project Driver: Milestone

Keyword2: Middleware Milestone / Dependency: SFC23005

Summary of Actions Taken: Have actioned Middleware to check the work and meetings have been arranged to discuss API

Impact Date: 25/07/2002 Criticality: Amber Controllability: C Cost: 0

Next Action: Carol Tildesley to contact David Keen (Audit) to deliver API by 25/07/02.

Action Manager: David Keen Vert. Offset: 0

Next Review: Re-open Close Notes

Date Closed:

Bubble Label: API for Audit

Risk Plan: Ref Date Activated

4 08/07/2002

Bubble Chart: Top Right Middle Bottom No Label

Select Risk Preview Report Print Report Bubble Charts Create Risk Plan Risk Browser Main Menu

Risk File (Ctrl + Enter - to start a new line) FLTR

1031

1032

Figure 10B

1045

Microsoft Access [Risk Plans [from Rickal]]

File Edit View Insert Format Records Tools Window Help

Record: 4 **RISK PLANS** 08/07/2002

Date Opened: 08/07/2002 Last Updated: 08/07/2002

Plan Description: Middleware will supply SFC with the correct API (Application Programming Interface) for Audit and accompanying Implementation Guide by 25/07/02.

Owner: Carol Tildesley Action Manager: David Keen

Keyword1: Project Plan Active: Yes Date Activated: 08/07/2002

Keyword2: Middleware Milestones: SFC23005

Success Criteria: The API and accompanying Implementation Guide will be supplied to Audit by 25/07/2002.

Monitoring Details: Discussed at weekly check-point meeting. Carol Tildesley & David Keen to attend.

Summary of Actions Taken: Formal request for API & Implementation Guide sent to Middleware. Paul Green met with Middleware on 10/07/2002.

Next Review Date: 15/07/2002 Date Closed: Mandays: 0 Capital: 50 % Capital: 0

Associated Ref:

Ref	Criticality	Controllability	Impact Date	Date Closed
19	Amber	C	25/07/2002	

 Notes

1046 View

1048 Risk Plans 1049 Preview Report 1050 Print Report 1047 1051 RiskPlan Browser 1052 Main Menu

Form View

Figure 10C

Gaston, et al.
LEDS.00111

"A Method for Standardizing Reporting of Issues, Assumptions, and
Risks for a Risk Review Board"
Page 14 of 18

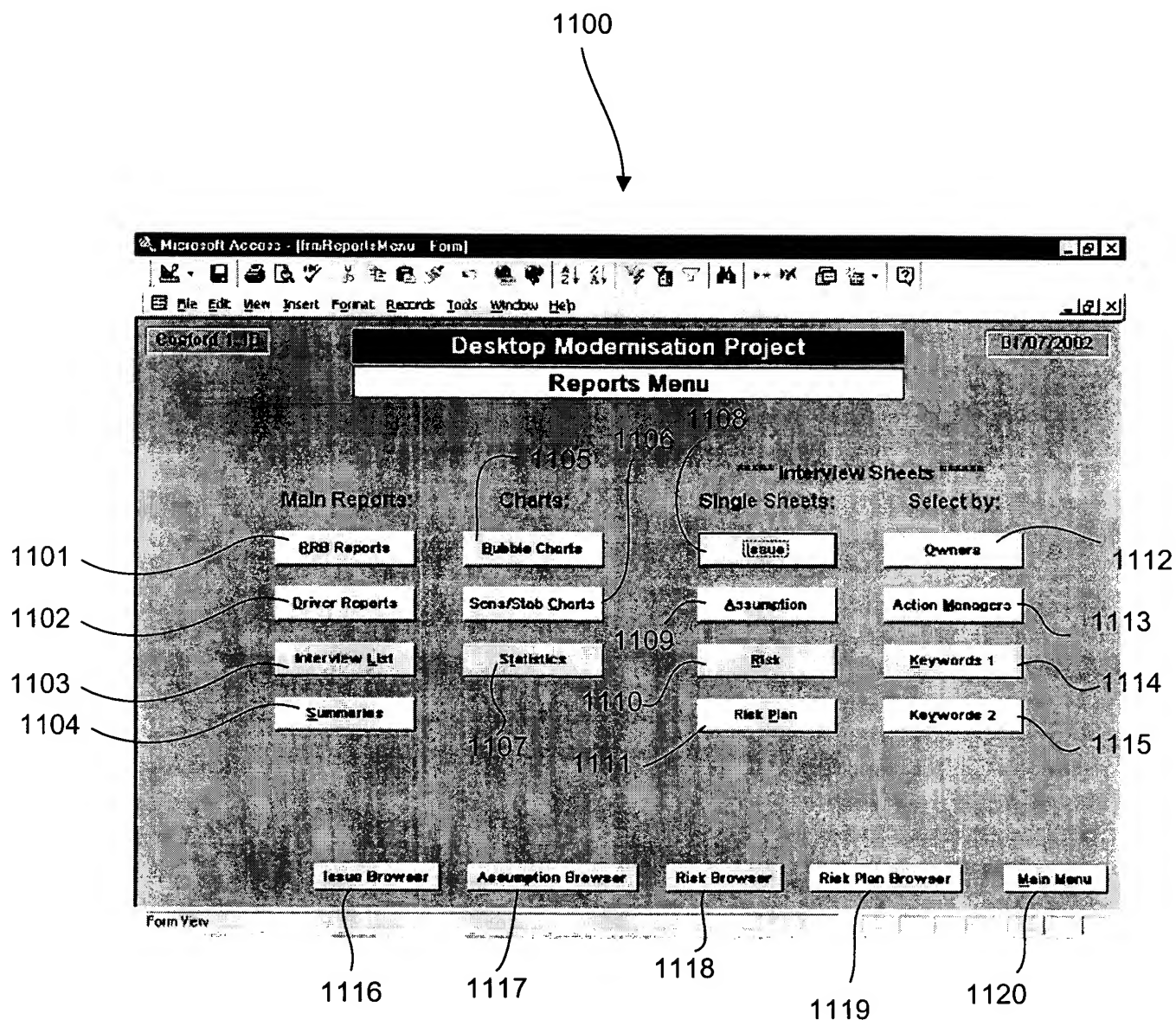


Figure 11

Gaston, et al.
LEDS.00111

"A Method for Standardizing Reporting of Issues, Assumptions, and
Risks for a Risk Review Board"
Page 15 of 18

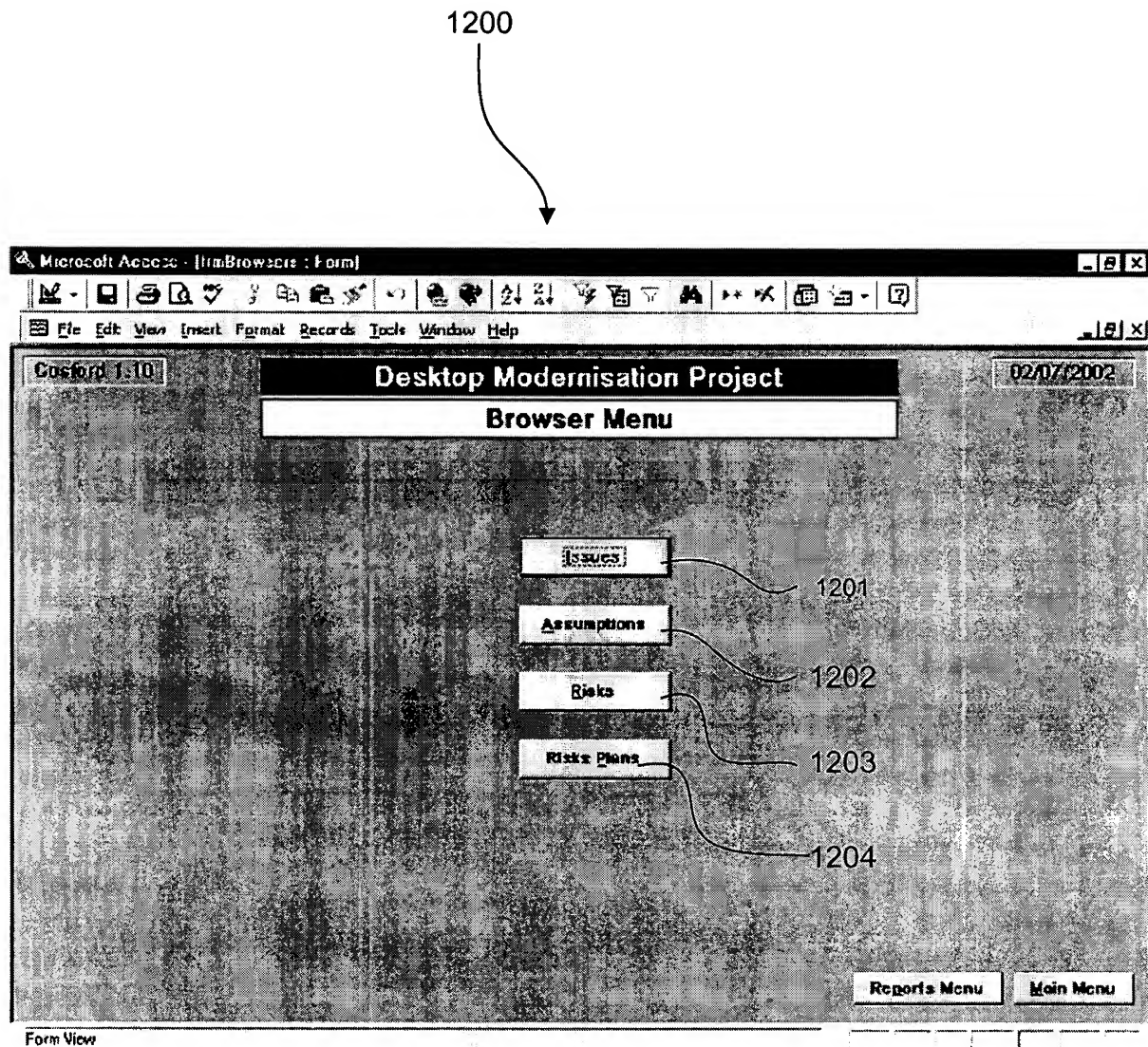


Figure 12A

Gaston, et al.
LEDS.00111

"A Method for Standardizing Reporting of Issues, Assumptions, and
Risks for a Risk Review Board"

Page 16 of 18

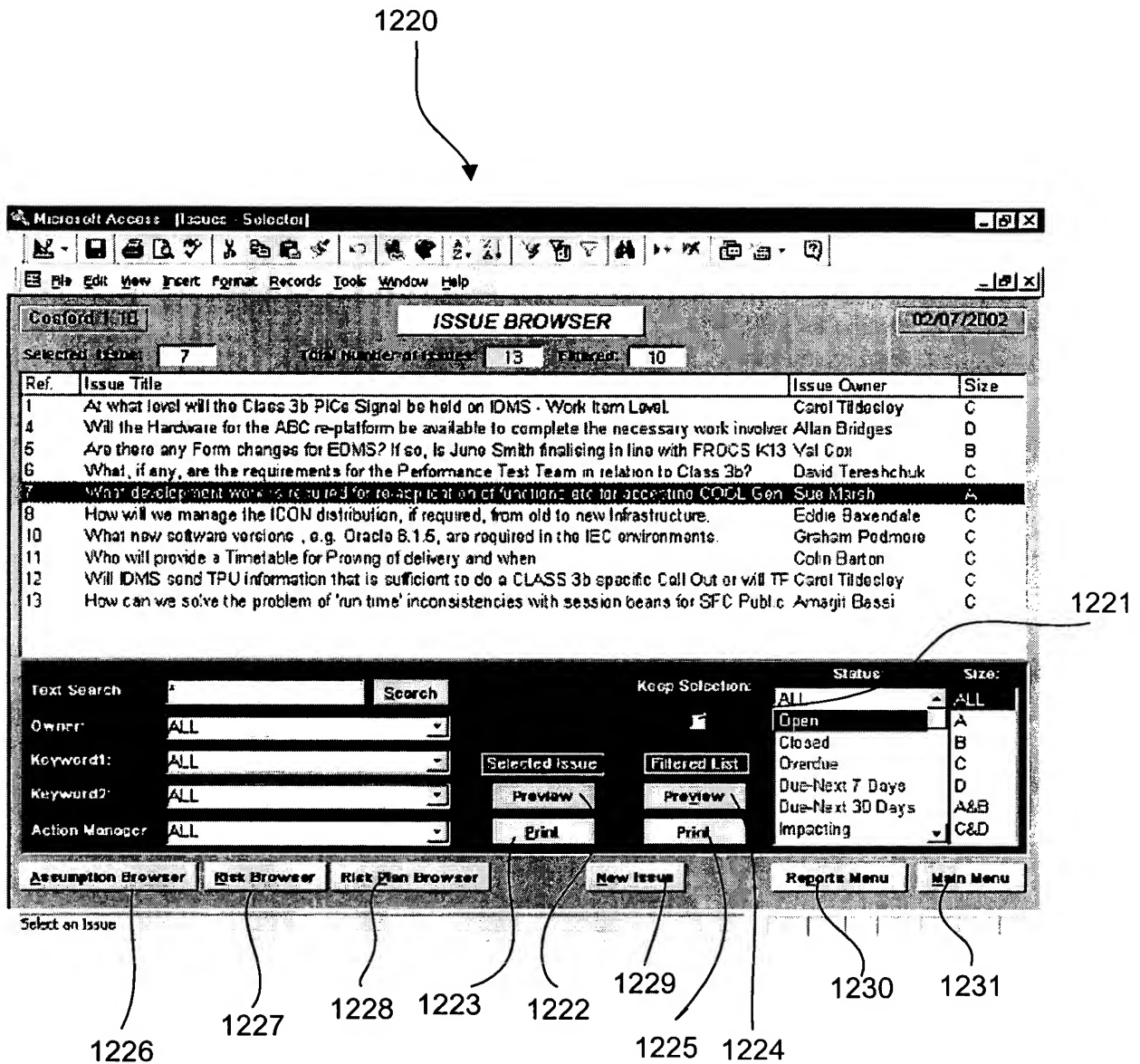


Figure 12B

Gaston, et al.
LEDS.00111

"A Method for Standardizing Reporting of Issues, Assumptions, and
Risks for a Risk Review Board"

Page 17 of 18

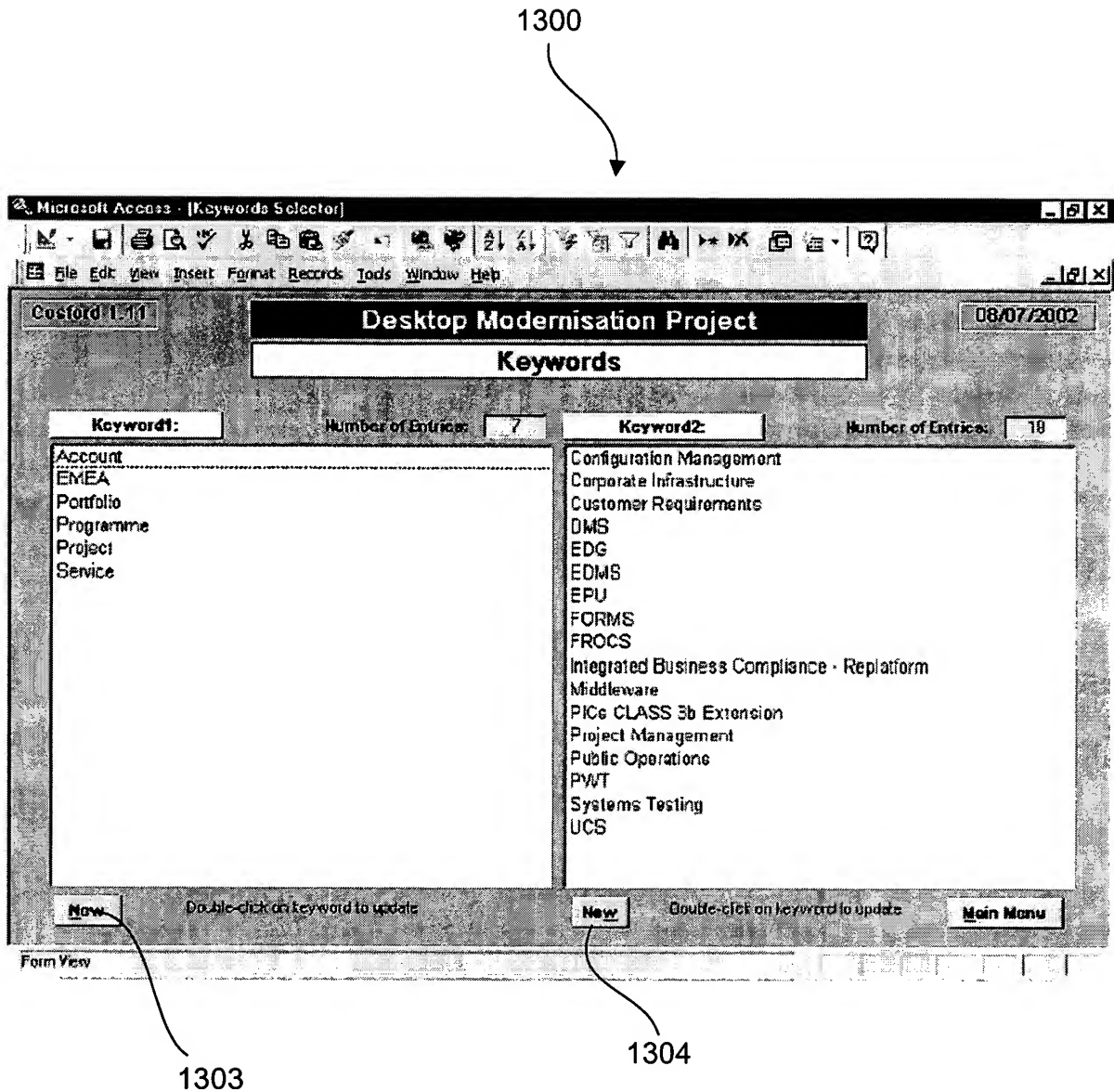


Figure 13